

Geoffrey C. Hazard Director's Files

ALI.02.004

Finding aid prepared by Jordon Steele.

Last updated on April 28, 2011.

University of Pennsylvania, Biddle Law Library, American Law Institute Archives

2009

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Summary Information

Repository	University of Pennsylvania: Biddle Law Library: American Law Institute Archives
Title	Geoffrey C. Hazard Director's Files
Call number	ALI.02.004
Date [inclusive]	1982-1999
Extent	47 linear feet
Language	English
Abstract	Geoffrey C. Hazard, Jr. graduated from Swarthmore College in 1953 and received his LL.B. from Columbia University in 1954. Widely considered an authority on legal ethics, Hazard was Director of the American Law Institute from 1984 to 1999. During his tenure, the ALI worked on many important restatement and codification projects, including a Restatement of the Law Governing Lawyers and revisions to the Uniform Commercial Code. The collections, 1982-1999, chiefly covers Hazard's activities as Director of the American Law Institute from 1984 to 1999.

Cite as:

[Identification of item], in the Geoffrey C. Hazard Director's Files, American Law Institute Archives, ALI.002.004, Biddle Law Library, University of Pennsylvania Law School, Philadelphia, PA.

Biography/History

Geoffrey C. Hazard, Jr. graduated from Swarthmore College in 1953 and received his LL.B. from Columbia University in 1954. Widely considered an authority on legal ethics, Hazard teaches courses in civil procedure, federal jurisdiction, legal ethics, and professional responsibility at the University of Pennsylvania Law School.

Hazard was Director of the American Law Institute from 1984 to 1999. During his tenure, the ALI worked on many important restatement and codification projects, including a Restatement of the Law Governing Lawyers and revisions to the Uniform Commercial Code.

Scope and Contents

The Geoffrey C. Hazard Director's Files, 1982-1999, chiefly cover Hazard's activities as Director of the American Law Institute from 1984 to 1999.

The collection includes correspondence, meeting materials, reports, subject files, and other materials regarding administrative and fundraising matters; Council meetings; ALI committees; ALI-ABA continuing legal education courses; areas of the law analyzed in the Second and Third Restatements of the Law; codification projects, including the Uniform Commercial Code, for which Hazard served on the Permanent Editorial Board; other studies commissioned by ALI, including those on transnational insolvency, family law, complex litigation, corporate governance, and taxation; meetings Hazard was invited to as Director; and work with the American Bar Association, particularly regarding legal ethics.

Arrangement note

1. Administrative Files
2. Fundraising
3. Council
4. Committee Files
5. ALI-ABA
6. Annual Meetings
7. General Correspondence
8. Personal Correspondence
9. Correspondence Files
10. Restatement of the Law, Second
11. Restatement of the Law, Third
12. Permanent Editorial Board for the Uniform Commercial Code
13. Principles of Corporate Governance
14. Transnational Civil Procedure
15. Tax Projects
16. Family Dissolution: Analysis and Recommendations
17. Enterprise Responsibility for Personal Injury

18. Complex Litigation: Statutory Recommendations and Analysis
19. Federal Judicial Code Revision Project
20. Administrative Law Project
21. Subject Files
22. American Bar Association

The bulk of this collection is arranged according to major projects undertaken by the American Law Institute while Hazard was Director. With the exception of the tax-related projects, which have been combined into one series, each of these projects is its own series. Most minor, proposed, and discontinued projects can be located in the Subject Files series.

Administrative Information

University of Pennsylvania, Biddle Law Library, American Law Institute Archives

2009

Finding aid prepared by Jordon Steele.

Access Restrictions

The archives reserves the right to restrict access to materials of sensitive nature. Please contact the department for further information.

Use Restrictions

Copyright is retained by the authors of items in these papers, or their descendants, as stipulated by United States copyright law.

Accruals note

Additional materials sent in 2008.

Immediate Source of Acquisition note

No exact date known, but shipments were sent from ALI Headquarters in the late 90s and early 2000s.

Processing Information note

Processed by Jordon Steele, September 2008-May 2009.

Related Materials

Related Archival Materials note

For papers not related to Hazard's ALI-related activities, please consult the Geoffrey Hazard Papers, 2009.MSS.001. This collection is currently unprocessed.

Controlled Access Headings

CORPORATE NAME(S)

- American Law Institute-American Bar Association Committee on Continuing Professional Education
- Permanent Editorial Board for the Uniform Commercial Code

PERSONAL NAME(S)

- Hazard, Geoffrey C.

SUBJECT(S)

- Class actions (Civil procedure)
 - Complex litigation
 - Corporate governance
 - Domestic relations
 - Federal Judicial Code Revision Project (U.S.)
 - Lawyers
 - Lawyers--Disbarment, disqualification, etc.
 - Taxation
 - Transnational Insolvency Project
-

Other Finding Aids note

An number of spreadsheets are available offline and may provide additional help in locating relevant materials. Please contact the archives for more information.

Collection Inventory

	Box	Folder
ADMINISTRATIVE FILES, 1985-1996.	1	1-15

Scope and Contents note

Correspondence on administrative matters, including personnel and publishing.

	Box	Folder
FUNDRAISING, 1987-1989.	1	16-19

Scope and Contents note

Chiefly correspondence with funders of ALI projects.

	Box	Folder
COUNCIL, 1986-1999.	1	20-23

Scope and Contents note

Correspondence with members of Council and papers from Council meetings.

	Box	Folder
COMMITTEE FILES, 1983-1999.	2	7-19
Scope and Contents note	3	1-15
	4	1-12

Correspondence and other materials relating to permanent committees, ad hoc

committees, special committees, and task forces.

	Box	Folder
ALI-ABA, 1986-1996.	4	13-15
Scope and Contents note	5	1-6
Correspondence and other material related to Hazard's interaction with the American Law Institute-American Bar Association Committee on Continuing Legal Education.		

	Box	Folder
ANNUAL MEETINGS, 1987-1996.	5	7-12
Scope and Contents note		
Meeting minutes, correspondence, and other material related to ALI Annual Meetings.		

	Box	Folder
GENERAL CORRESPONDENCE, 1987-1999.	5	13-25
Scope and Contents note	6	1-15
	7	1-15
Letters written and received by Hazard not filed under more specific headings.	8	1-20
	9	1-13
	10	1-9
	11	1-16
	13	1-3
	47	8-16

	Box	Folder
PERSONAL CORRESPONDENCE, 1993-1998.	12	1-5

Scope and Contents note

Correspondence marked "personal" by Hazard.

Related Archival Materials note

For Hazard's papers unrelated to his ALI activity, please see the Geoffrey Hazard Papers, 2009.MSS.001.

CORRESPONDENCE FILES, 1992-1998.

Arrangement note

Alphabetical.

Scope and Contents note

Letters sent and received by Hazard and organized by correspondent.

	Box	Folder
Baillif, Michael, 1996.	12	6
Bonell, Michael J., 1995.	12	7-8
Boskey, Bennett, 1993.	12	9
Cappella, Elena A., 1993.	12	10
Elson, Alex, 1997-1998.	12	20
Greenwald, Michael, 1992-1994.	12	11
Koniak, Susan P., 1995.	12	12

Kunreuther, Howard, 1994-1996.	12	13
Perkins, Roswell, 1992-1993.	12	14
Shelton, Daniel, 1995.	12	15
Taruffo, Michele, 1994-1995.	12	16-17
University of Pennsylvania, 1995 April-December.	12	18
Wright, Charles Alan, 1992-1994.	12	19

SECOND RESTATEMENT OF THE LAW, 1982-1991.

Scope and Contents note

Drafts, correspondence, and related materials regarding Hazard's participation in the drafting of the Restatement of the Law, Second, which is an ongoing project to revise and enhance aspects of the First Restatement of the Law.

	Box	Folder
CONFLICT OF LAWS (REVISIONS), 1983-1989.	13	4-5
	Box	Folder
PROPERTY: DONATIVE TRANSFERS, 1982-1991.	13	6-13
	Box	Folder
RESTITUTION, 1987 OCTOBER.	13	14

THIRD RESTATEMENT OF THE LAW, 1983-1999.

Scope and Contents note

Drafts, correspondence, and related materials regarding Hazard's participation in the drafting of the Restatement of the Law, Third, which is an ongoing project to revise and enhance aspects of Restatement of the Law, Second.

Arrangement note

Alphabetical.

	Box	Folder
AGENCY, 1994-1999.	13	15-17
	14	1-5
	Box	Folder
FOREIGN RELATIONS LAW OF THE UNITED STATES, 1985-1988.	14	6
	Box	Folder
LAW GOVERNING LAWYERS, 1987-1999.	14	7-15
	15	1-18
	16	1-19
	17	1-14
	18	1-14
	Box	Folder
PROPERTY, 1985-1988.	19	1-11

Scope and Contents note

Includes papers on projects including Joint Ownership (discontinued), Mortgages, Security, Servitudes, and Donative Transfers.

	Box	Folder
REMEDIES, 1987-1996.	19	12-15

Biographical/Historical note

This project was discontinued.

	Box	Folder
RESTITUTION AND UNJUST ENRICHMENT, 1983-1998.	19	16-17
	20	1-5

SURETYSHIP AND GUARANTY, 1986-1995.	Box 20	Folder 6-14
TORTS: GENERAL, 1987-1998.	Box 20 21	Folder 15-17 1-6
TORTS: APPORTIONMENT OF LIABILITY, 1993-1998.	Box 21	Folder 7-13
TORTS: LIABILITY FOR PHYSICAL AND EMOTIONAL HARM, 1996-1999.	Box 21	Folder 14-15
Scope and Contents note		
Formerly subtitled "General Principles" and "Basic Principles."		
TORTS: PRODUCTS LIABILITY, 1991-1997.	Box 21 22 23	Folder 16-20 1-15 1-5
TRUSTS: GENERAL, 1990-1994.	Box 23	Folder 6-9
TRUSTS: PRUDENT INVESTOR RULE, 1983-1990.	Box 23	Folder 10-13
UNFAIR COMPETITION, 1985-1995.	Box 23	Folder 14-22

PERMANENT EDITORIAL BOARD OF THE UNIFORM COMMERCIAL CODE, 1985-1998.

Scope and Contents note

Drafts, correspondence, reports, Permanent Editorial Board commentaries, minutes, meeting materials, and related materials regarding Hazard's activity as a member of the Permanent Editorial Board, which was originally established in 1961 to provide advice, recommendations, and commentary on the Uniform

Commercial Code. The Uniform Commercial Code was first promulgated in 1952 in an effort to harmonize various laws related to commercial transactions and sales in the United States of America. The revision and enhancement of the Uniform Commercial Code remains an ongoing joint project between the ALI and the National Conference of Commissioners on Uniform State Laws. As Director of the ALI, Hazard was automatically appointed to the Permanent Editorial Board.

Arrangement note

Chiefly arranged by number of Article.

	Box	Folder
AGREEMENT, 1985-1991.	24	1
	Box	Folder
GENERAL FILES, 1987-1998.	24	2-13
Scope and Contents note	25	1-17
	26	1-18
Correspondence, drafts and related materials regarding Hazard's activity as a member of the Permanent Editorial Board that Hazard did not file under a particular article.	27	1-22
	28	1-11
	29	1-15
	30	1-5
	Box	Folder
ARTICLE 1, 1990-1996.	30	6-7
	Box	Folder
ARTICLE 2, 1989-1996.	30	8-13
	Box	Folder
ARTICLE 2A, CIRCA 1985-1993.	30	14
	31	1-3
	Box	Folder
ARTICLE 2B, 1995-1998.	31	4-13
	Box	Folder
ARTICLE 3, 1990-1993.	31	14
	Box	Folder
ARTICLES 3 AND 4, 1985-1992.	31	15-16
	32	1-2

ARTICLE 4, 1989-1992.	Box 32	Folder 3
ARTICLE 4A, 1989-1994.	Box 32	Folder 4-6
ARTICLE 5, 1992-1995.	Box 32	Folder 7-10
ARTICLE 6, 1986-1990.	Box 32	Folder 11-13
ARTICLE 8, 1993.	Box 32	Folder 14-19
ARTICLES 8 AND 9, 1992.	Box 33	Folder 1-4
ARTICLE 9 STUDY GROUP, 1989-1990.	Box 33	Folder 5-8
ARTICLE 9, 1992-1998.	Box 33 34	Folder 9-14 1-4

PRINCIPLES OF CORPORATE GOVERNANCE, 1987-1993.	Box 34	Folder 5-11
Scope and Contents note	35	1-21
	36	1-20
Drafts, reports, correspondence and related materials regarding the drafting of the Principles of Corporate Governance, a project that aimed to summarize the conditions under which corporations lawfully conduct operations.	37	1-2

TRANSNATIONAL CIVIL PROCEDURE, 1997-1999.	Box 37	Folder 3-13
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Scope and Contents note	38	1-7
Correspondence, meeting materials, drafts, and related materials regarding the Principles of Transnational Civil Procedure project, a joint project between the ALI and UNIDROIT to establish standards for adjudication of transnational commercial disputes.	39	1-9

	Box	Folder
TAX PROJECTS, 1986-1998.	39	10-22
Scope and Contents note	40	1
Correspondence, meeting materials, drafts, and related materials regarding ALI's various tax-related projects, including the Federal Income Tax Project, tax treaties, and Taxation of Private Business Enterprises (formerly "Taxation of Pass-Through Entities").		

	Box	Folder
FAMILY DISSOLUTION: ANALYSIS AND RECOMMENDATIONS, 1986-1995.	40	2-17
Biographical/Historical note	41	1-4
Also known as the Family Law Project.		
Scope and Contents note		
Drafts, correspondence, and related materials regarding the Family Dissolution project, a comprehensive work on aspects of family law, including child custody, child and spousal support, marital agreements, and unmarried domestic partners.		

	Box	Folder
ENTERPRISE RESPONSIBILITY FOR PERSONAL INJURY, 1985-1991.	41	5-18

Biographical/Historical note

42

1-7

Formerly referred to as "Compensation and Liability for Product and Process Injuries."

Scope and Contents note

Drafts, correspondence, and related materials regarding the Enterprise Responsibility for Personal Injury study, which deals with how well various institutions—prominently, but not exclusively, tort litigation—have performed in addressing the human and economic problems created by personal injuries. The study also included those facets of the tort system that have proved especially troublesome in recent years and presents the Reporters' judgments about how the tort system should evolve in the future.

COMPLEX LITIGATION: STATUTORY RECOMMENDATIONS AND ANALYSIS, 1985-1994.

Box

Folder

42

8-14

Scope and Contents note

Correspondence, drafts and related materials regarding the Complex Litigation project, a study of procedures used to develop and manage large-scale litigation.

FEDERAL JUDICIAL CODE REVISION PROJECT, 1993-1999.

Box

Folder

42

15-20

Scope and Contents note

Chiefly correspondence regarding the Federal Judicial Code Revision Project, an effort to clarify and simplify three subjects within Title 28 of the United States Code: supplemental jurisdiction, venue, and removal.

	Box	Folder
TRANSNATIONAL INSOLVENCY PROJECT, CIRCA 1994-1999.	42	21-22
Biographical/Historical note	43	1-5

Formerly "International Insolvency Project."

Scope and Contents note

Correspondence, drafts, and related material regarding the Transnational Insolvency Project, which sought to develop principles and procedures for managing the general default of an economic enterprise having its center of interest in a NAFTA country and having assets, creditors, and operations in more than one NAFTA country. Members of this project were from Canada, Mexico, and the United States.

	Box	Folder
ADMINISTRATIVE LAW PROJECT, 1989-1992.	43	6-8

Scope and Contents note

Chiefly correspondence regarding the planning of a restatement of administrative law, a project proposed by ALI but never undertaken. Work on the proposed project was ended in 1997.

SUBJECT FILES, 1984-1999.

Scope and Contents note

Correspondence and other materials collected by Hazard and grouped by subject matter. Hazard's file names have largely been retained.

Arrangement note

Alphabetical.

	Box	Folder
75th Anniversary, 1996-1998.	43	9-10
Scope and Contents note		
Programs, commemorative material, correspondence, and other material related to the planning of the American Law Institute's 75th Anniversary Meeting, held in 1997.		
Alternative Dispute Resolution, 1991-1992.	43	11
Arbitration, 1992-1995.	43	12-13
Articles on Drugs, 1995-1996.	43	14
Coca-Cola Conference, 1995.	43	15
Center for Professional Responsibility, 1995.	43	16
Co-Ownership, 1991-1992.	43	17
Criminal Law, 1997-1999.	43	18-20
Scope and Contents note		
Includes files on the Criminal Code, criminal rules amendments, and correspondence with John Rabiej.		
Cross-Border Securities Transactions, 1993.	43	21
<i>Daubert</i> Opinion, 1998.	43	22
Editorial Consultant Position, 1997-1998.	43	23
Employment Law, 1998.	43	24
Environmental Law, 1998.	43	25

"Eulogy of Judges" Project, 1992-1995.	43	26
Federal Habeas Corpus, 1994.	43	27
Hague Convention on Jurisdiction and Judgments, 1998.	44	1
Henry J. Friendly Medal, 1993.	44	2
Hofstra Ethics Conference, 1995.	44	3
Intellectual Property, 1994-1999.	44	4-6
International Article 8, 1996.	44	7
Scope and Contents note		
Correspondence with Neil Cohen.		
Related Archival Materials note		
For additional records related to Article 8, please consult the Uniform Commercial Code Series.		
International Bankruptcy, 1993-1994.	44	8-10
International Financial Fraud, 1985-1989.	44	11
International Human Rights, 1996-1999.	44	12
International Law, 1995-1999.	44	13-14
International Projects, 1996.	44	17
International Secured Transactions, 1996-1997.	44	18
Juvenile Law, 1996.	45	1
LawAsia Conference, 1998.	45	2

Liability Insurance Law, 1996.	45	3
Liability of Actions, 1998-1999.	45	4
Maritime Insurance, 1995-1997.	45	5-6
Multidisciplinary Practice, 1998.	45	7
National Bankruptcy Review Commission, 1994-1995.	45	8
National Conference of Bankruptcy Judges, 1995.	45	9
Nuisance Law, 1997.	45	10
Paths to a Better Way, 1987-1988.	45	11
Paul A. Wolkin: Death and Obituary, 1995.	45	12
Pension Law, 1989.	45	13
Piper and Marbury Retreat, 1998.	45	14
Principles of Accounting, 1986-1987.	45	15
Product Liability Advisory Committee, 1995-1996.	45	16
Proposed Projects, 1984-1988, 1996.	45	17-18
Public Relations, 1984-1988.	45	19
Rabiej, John K., 1995-1996.	46	1
Related Archival Materials note	45	20
See also the "Criminal Law" subject file.		
Reporter Question Responses, 1996.	46	2

Restatement of Admiralty, 1994-1995.	46	3
Rod Perkins Memorial, 1992-1993.	46	4
Style Committee, 1995.	46	5
Supreme Court Historical Society, 1994-1995.	46	6
Transnational Litigation, 1999.	46	7
Twinning Project, 1995-1996.	46	8
Scope and Contents note		
Correspondence with Donna Kempe.		
UNCITRAL, 1995.	46	9
University of Pennsylvania Law School Reception, 1998.	46	10
Scope and Contents note		
Correspondence for reception regarding the Uniform Commercial Code.		
USC Section 1983, 1995-1996.	46	11-13

AMERICAN BAR ASSOCIATION, 1989-1998.

Scope and Contents note

Correspondence, meeting materials, and other materials related to Hazard's activities on liaison committees with the American Bar Association, particularly in the field of legal ethics.

Related Archival Materials note

This series relates to Hazard's interaction with the American Bar Association as ALI Director. For papers related to Hazard's activities as a member of the American Bar Association, please see the Geoffrey

Hazard Papers. The collection has not been processed but has been given the accession identifier 2009.MSS.001.

CORRESPONDENCE, 1989-1994.	Box 46	Folder 14-20
MEETINGS, 1998.	Box 47	Folder 1-3
STANDING COMMITTEE ON LAWYERS' PROFESSIONAL RESPONSIBILITY, 1989-1996.	Box 47	Folder 4-7